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Multivariate Cooperative Game for Image-Report Pairs: Hierarchical Semantic Alignment for Medical Report Generation

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Abstract. Medical report generation (MRG) has great clinical potential, which could relieve radiologists from the heavy workloads of report writing. One of the core challenges in MRG is establishing accurate cross-modal semantic alignment between radiology images and their corresponding reports. Toward this goal, previous methods made great attempts to model from case-level alignment to more fine-grained region-level alignment. Although achieving promising results, they (1) either perform implicit alignment through end-to-end training or heavily rely on extra manual annotations and pre-training tools; (2) neglect to leverage the high-level inter-subject relationship semantic (e.g.,disease) alignment. In this paper, we present Hierarchical Semantic Alignment (HSA) for MRG in a unified game theory based framework, which achieves semantic alignment at multiple levels. To solve the first issue, we treat image regions and report words as binary game players and value possible alignment between them, thus achieving explicit and adaptive alignment in a self-supervised manner at region-level. To solve the second issue, we treat images, reports, and diseases as ternary game players, which enforces the cross-modal cluster assignment consistency at disease-level. Extensive experiments and analyses on IU-Xray and MIMIC-CXR benchmark datasets demonstrate the superiority of our proposed HSA against various state-of-the-art methods.

 ${\bf Keywords:} \ {\rm Medical \ Report \ Generation} \cdot {\rm Multivariate \ Cooperative \ Game}$

1 Introduction

Medical report generation (MRG) aims to automatically generate coherent and informative reports to describe referring examination radiographs [20]. Due to its high potential in clinics, MRG has attracted extensive attention in recent years. Existing MRG works mainly adopt the encoder-decoder architecture, where the

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Fig. 1: (a) Method comparison. (b) Our proposed HSA. (Zoom-in for better view)

encoder derives visual features from the input image and the decoder generates the corresponding report [1, 31, 34, 15]. The key challenge in MRG is how to accurately establish cross-modal semantic alignment between images and reports, which is crucial for precisely identifying complex diseases [40, 18, 42, 23].

To this end, a bunch of works attempted to establish from case-level alignment [38, 17] to region-level alignment [6, 41, 23, 40]. Therein, R2GenCMN [6] and JPG [41] treated memory vectors as the intermediary of vision and text modalities to enhance alignment in an end-to-end training manner. CA [23] compared the current input image with manually constructed normal image pool to obtain discriminative abnormal features. AlignTransformer [40] aligned image region-level features with several fixed disease tags from pre-training labelers [13]. Despite achieving promising progress, two main issues remain:

(1) Existing methods either achieve implicit alignment through end-to-end training or heavily rely on extra manual annotations and pre-training tools as summarized in Fig. 1(a). As a result, they often suffered from labor-intensive efforts or the risk of error propagation. This situation prompts a natural question: Can we achieve **region-level** alignment *explicitly and adaptively?* (2) They neglect to leverage the high-level inter-subject **disease-level** alignment. It is intuitive that unpaired images and reports sharing the same disease could also be semantically related [37], which is overlooked by most existing MRG works.

Following these premises, as shown in Fig.1(b), we propose Hierarchical Semantic Alignment (HSA) for MRG in a unified game theory based framework. Apart from vanilla case-level alignment, we introduce Banzhaf interaction [25] to achieve both region-level and disease-level alignment. The introduced game-theoretic interaction could mathematically value possible alignment among players at different levels, for explicit and adaptive alignment self-supervisedly.

To be specific, to solve the first issue, we formulate the image patches and report words in a pair as binary game players to perform for region-level alignment. Then, we utilize Banzhaf interaction [25] to value possible correspondence between image patches and report words for explicit and adaptive semantic alignment. To solve the second issue, we consider the images, reports and diseases as ternary game players to perform disease-level alignment. By valuing possible alignment between images/reports and diseases, we enforce the cross-modal cluster assignment consistency. We conduct extensive experiments to validate our framework on two benchmarks, IU-Xray [8] and MIMIC-CXR [14]. Empirical results and ablation studies show our method achieves significant improvements in almost all metrics that measure descriptive accuracy and clinical correctness. Contributions. In a nutshell, the contributions of this work are three-fold:

- To our best knowledge, this is the first work to bring game theory into MRG. We present HSA, which utilizes game-theoretic interaction to achieve cross-modal semantic alignment at disease-, case- and region-level.
- To be specific, the proposed HSA performs different Banzhaf interactions at different levels correspondingly: image regions and report words (binary game) at region-level; images, reports, and diseases (ternary game) at disease-level. These two proposed interactions are unsupervised without labor-intensive effort, which could achieve explicit and adaptive alignment.
- Extensive experiments and analyses on IU-Xray and MIMIC-CXR benchmark datasets validate the effectiveness and superiority of the proposed HSA.

2 Preliminaries

2.1 Background of MRG

Given a medical image I, MRG aims to generate a radiology report $\hat{\mathbf{Y}}$ that describes findings \mathbf{Y} . Typical MRG systems are built upon encoder-decoder frameworks, which comprise an image encoder and a report decoder.

Image Encoder. In this work, we employ a Vision Transformer (ViT) [9] as our image encoder, which divides a medical image I into patches, with an additional [CLS] token to represent the global image feature. Through the image encoder, we obtain the encoded visual tokens $\mathbf{V} \in \mathbb{R}^{n_v \times d}$ and a global image representation \mathbf{v}_g , and \mathbf{V} will be utilized for report generation.

Report Decoder. We adopt a two-layer standard Transformer decoder [32] as our report decoder. Technically, a [Decode] token is added to the beginning of **V** to signal the start while a [EOS] token is to signal its end. Through the report decoder, the output will be fed to a Linear & LogSoftmax layer to get the output of target sentences. Eventually, we train model parameters $\boldsymbol{\theta}$ to maximize $p_{\boldsymbol{\theta}}(\mathbf{Y}|I)$ by minimizing the negative log-likelihood loss: $\mathcal{L}_{CE} = -\sum_{t=1}^{\hat{T}} \log p_{\boldsymbol{\theta}}(\hat{y}_t|\hat{y}_{< t}, I)$, where \hat{T} is the number of generated words.

2.2 Introduction of Game Theory

To achieve **region-level** and **disease-level** semantic alignment, we introduce the game theoretic interaction to mathematically value possible alignment at different levels. This subsection gives an introduction to game-theoretic interaction.

Notations. Specifically, the multivariate cooperative game consists of a set of players \mathcal{P} with a revenue function $\phi(\mathcal{P})$. Therein, ϕ maps each team of players to a real score, which indicates the payoff obtained by \mathcal{P} players working together to complete the task. The core of the game-theoretic interaction is to measure how much gain is obtained, and how to allocate the total gain fairly.

Interaction Strategy. In the multivariate cooperative game, there are various interaction strategies available, *i.e.*, core interaction [10], Shapley interaction [30] and Banzhaf interaction [25]. In this work, we choose Banzhaf interaction as our interaction strategy in MRG due to its balance of computational

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Fig. 2: The architecture of HSA. Beyond case-level alignment, we use Banzhaf interaction to value possible alignment between different players to achieve region-level and disease-level alignment. For simplicity, we only draw one image-report pair and several disease prototypes in disease-level alignment.

complexity and precision [12, 16]. Mathematically, given a coalition $\{i, j\} \subseteq \mathcal{P}$, the Banzhaf interaction $\mathcal{B}(\{i, j\})$ for the player $\{i, j\}$ is calculated as:

$$\mathcal{B}(\{i,j\}) = \sum_{\mathcal{C} \subseteq \mathcal{P} \setminus \{i,j\}} p(\mathcal{C})[\phi(\mathcal{C} \cup \{i,j\}) - \phi(\mathcal{C} \cup \{i\}) - \phi(\mathcal{C} \cup \{j\}) + \phi(\mathcal{C})],$$
(1)

where $p(\mathcal{C}) = \frac{1}{2^{n-2}}$ is the likelihood of the coalition \mathcal{C} being sampled, and $\mathcal{P} \setminus \{i, j\}$ denotes removing $\{i, j\}$ from \mathcal{P} . $\mathcal{B}(\{i, j\})$ reflects the tendency of interactions inside $\{i, j\}$. The higher value of $\mathcal{B}(\{i, j\})$ indicates that player i and j cooperate closely with each other. For MRG, we take the matrix \mathcal{B} as the **alignment label annotation**. We start with a detailed description of our HSA below.

3 Hierarchical Semantic Alignment

3.1 Vanilla Case-level Alignment

Following previous works, we first integrate the well-known case-level alignment. To be specific, we utilize a report encoder with a similar architecture to the image encoder to extract textual tokens $\mathbf{T} \in \mathbb{R}^{n_t \times d}$ and a global report representation \mathbf{t}_g . The loss function of case-level alignment can be formulated as two symmetric temperature-normalized InfoNCE [28] losses between global image and report representations in one mini-batch:

$$\mathcal{L}_{CA} = -\frac{1}{2B} \left[\sum_{k=1}^{B} \log \frac{\exp\left(\hat{\mathbf{v}}_{g}^{(k)^{\top}} \hat{\mathbf{t}}_{g}^{(k)} / \tau\right)}{\sum_{\ell}^{B} \exp\left(\hat{\mathbf{v}}_{g}^{(k)^{\top}} \hat{\mathbf{t}}_{g}^{(\ell)} / \tau\right)} + \sum_{k=1}^{B} \log \frac{\exp\left(\hat{\mathbf{t}}_{g}^{(k)^{\top}} \hat{\mathbf{v}}_{g}^{(k)} / \tau\right)}{\sum_{\ell}^{B} \exp\left(\hat{\mathbf{t}}_{g}^{(k)^{\top}} \hat{\mathbf{v}}_{g}^{(\ell)} / \tau\right)} \right],$$
(2)

where B is the batch size; $\tau = 0.1$ is the temperature hyper-parameter; following common practice [5], $\hat{\mathbf{v}}_g^{(*)}$ and $\hat{\mathbf{t}}_g^{(*)}$ are normalized lower-dimensional global representations of \mathbf{v}_g^* and \mathbf{t}_g^* , respectively.

3.2 Game Theory based Region-level Alignment

Since case-level alignment directly optimizes global representations for an image-report pair, they may miss important subtle clues. In contrast to prior works, we propose region-level alignment based on game theory, to achieve explicit and direct alignment. We first reiterate the players and define the game score $\phi_{\rm RA}$, then explain region-level semantic alignment in detail.

From the game-theoretic view, we take $\mathcal{M} = \{\mathbf{v}_i\}_{i=1}^{n_v} \cup \{\mathbf{t}_j\}_{j=1}^{n_t}$ as players. For the game score, we first define the alignment matrix: $\mathbf{A} = [a_{ij}]^{n_v \times n_t}$, where $a_{ij} = \mathbf{v}_i^{\top} \mathbf{t}_j$ represents the alignment score between *i*-th image patch and *j*-th report word. Next, $\tilde{\mathbf{A}}$ is obtained by applying row normalization of \mathbf{A} . For the *i*-th image patch, we calculate its maximum alignment score as $\max_j \tilde{a}_{ij}$. Then, we use the weighted average maximum alignment score over all image patches as the image-to-report similarity \mathbf{s}_1 . Similarly, we can obtain the report-to-image similarity \mathbf{s}_2 . The total similarity score can be defined: $\mathbf{s} = (\mathbf{s}_1 + \mathbf{s}_2)/2$, which is considered as the game score $\phi_{\mathrm{RA}}(\mathcal{M})$ in region-level alignment.

Intuitively, if an image token has strong semantic correspondence with a report token, then they tend to cooperate with each other and contribute to the total game score. For a coalition $\{\mathbf{v}_i, \mathbf{t}_j\}$, referring to Eq. 1, we can calculate its Banzhaf interaction score $\mathcal{B}(\{\mathbf{v}_i, \mathbf{t}_j\})$. Then, we take normalized $\mathcal{B}'(\{\mathbf{v}_i, \mathbf{t}_j\})$ as soft labels, the loss function of region-level alignment can be formulated as:

$$\mathcal{L}_{\text{RA}} = -\frac{1}{n_v n_t} \sum_{i=1}^{n_v} \sum_{j=1}^{n_t} \mathcal{B}'(\{\mathbf{v}_i, \mathbf{t}_j\}) \log(\tilde{a}_{ij}).$$
(3)

3.3 Game Theory based Disease-level Alignment

We devise **disease-level** alignment to harness the inter-subject alignment between images and reports, which imposes constraints on the embedding space, leading to the incorporation of more high-level semantic information.

Concretely, we first pre-define K trainable cross-modal disease prototypes $C = {\mathbf{c}_1, \dots, \mathbf{c}_K}$, where $\mathbf{c}_k \in \mathbb{R}^d$. After that, we calculate the visual softmax probability $\mathbf{p}_v \in \mathbb{R}^K$ of the cosine similarities between normalized global visual representation $\hat{\mathbf{v}}_g$ and cross-modal disease prototypes C, and the text softmax probability $\mathbf{p}_t \in \mathbb{R}^K$ of the cosine similarities between normalized global textual representation $\hat{\mathbf{t}}_g$ and cross-modal disease prototypes C. Then, we formulate $\hat{\mathbf{v}}_g$, $\hat{\mathbf{t}}_g$ and C as players in **disease-level** alignment. \mathbf{p}_t is treated as the cross-modal game score between $\hat{\mathbf{v}}_g$ and C while \mathbf{p}_v is treated as another cross-modal game score between $\hat{\mathbf{t}}_g$ and C. The optimization is achieved by conducting cross-modal game-theoretic interaction of ${\hat{\mathbf{v}}_g, C}$ and ${\hat{\mathbf{t}}_g, C}$:

$$\mathcal{L}_{\text{DA}} = \frac{1}{2} \left[\sum_{k=1}^{K} \mathcal{B}'\left(\{\hat{\mathbf{v}}_{g}, \mathbf{c}_{k}\}\right) \log \mathbf{p}_{k,t} + \sum_{k=1}^{K} \mathcal{B}'\left(\{\hat{\mathbf{t}}_{g}, \mathbf{c}_{k}\}\right) \log \mathbf{p}_{k,v} \right], \tag{4}$$

Table 1: Main results on IU-Xray and MIMIC-CXR datasets. [‡]: our own reimplementation of baselines. ^{*}: our results significantly surpass baselines using paired t-test [39] with p < 0.05. –: missing results from the published work. Results in gray denote the model using different architectures.

Detect	h	NLG Metrcis								CE Metrcis			
Dataset	Method	BLEU-1	BLEU-2	BLEU-3	BLEU-4	METEOR	ROUGE-L	CIDEr	Precision	Recall	F1		
IU-Xray [8]	R2Gen [7]	0.470	0.304	0.219	0.165	0.187	0.371	-	-	-	-		
	PPKED[22]	0.483	0.315	0.224	0.168	0.190	0.376	0.351	-	-	-		
	R2GenCMN [‡] [6]	0.471	0.306	0.222	0.170	0.193	0.374	0.343	-	-	-		
	CA [23]	0.492	0.314	0.222	0.169	0.193	0.381	-	-	-	-		
	CMCL [21]	0.473	0.305	0.217	0.162	0.186	0.378	-	-	-	-		
	AlignTransformer [40]	0.484	0.313	0.225	0.173	0.204	0.379	-	-	-	-		
	JPG [41]	0.479	0.319	0.222	0.174	0.193	0.377	-	-	-	-		
	MSAT [36]	0.481	0.316	0.226	0.171	0.190	0.372	0.394	-	-	-		
	MMTN [4]	0.486	0.321	0.232	0.175	-	0.375	0.361	-	-	-		
	DCL [17]	-	-	-	0.163	0.193	0.383	0.586	-	-	-		
	HSA (Ours)	0.527^{*}	0.361^{*}	0.268^{*}	0.196^{*}	0.210^{*}	0.405^{*}	0.598^{*}	-	-	-		
	CvT-212DistilGPT2 [26]	0.473	0.304	0.224	0.175	0.200	0.376	0.694	-	-	-		
	METransformer [35]	0.483	0.322	0.228	0.172	0.192	0.380	0.435	-	-	-		
MIMIC-CXR [14]	R2Gen [7]	0.353	0.218	0.145	0.103	0.142	0.270	-	0.333	0.273	0.276		
	PPKED [22]	0.360	0.224	0.149	0.106	0.149	0.284	0.237	-	-	-		
	R2GenCMN [‡] [6]	0.350	0.214	0.144	0.103	0.139	0.271	0.158	0.334	0.275	0.158		
	M2TR [27]	0.378	0.232	0.154	0.107	0.145	0.272	-	0.240	0.428	0.308		
	CA [23]	0.350	0.219	0.152	0.109	0.151	0.283	-	0.352	0.298	0.303		
	CMCL [21]	0.344	0.217	0.140	0.097	0.133	0.281	-	-	-	-		
	AlignTransformer [40]	0.378	0.235	0.156	0.112	0.158	0.283	-	-	-	-		
	MSAT [36]	0.373	0.235	0.162	0.120	0.143	0.282	0.299	-	-	-		
	WCL [38]	0.373	-	-	0.107	0.144	0.274	-	0.385	0.274	0.294		
	MMTN [4]	0.379	0.238	0.159	0.116	0.161	0.283	-	-	-	-		
	DCL [17]	-	-	-	0.109	0.150	0.284	0.281	0.471	0.352	0.373		
	HSA (Ours)	0.386^{*}	0.243^*	0.165^{*}	0.120	0.163^{*}	0.288^{*}	0.287	0.480^{*}	0.357	0.379		
	CvT-212DistilGPT2 [26]	0.393	0.248	0.171	0.127	0.155	0.286	0.389	0.367	0.418	0.391		
	METransformer [35]	0.386	0.250	0.169	0.124	0.152	0.291	0.362	0.364	0.309	0.311		

where the Banzhaf interaction $\mathcal{B}'(\{\hat{\mathbf{v}}_g, \mathbf{c}_k\})$ and $\mathcal{B}'(\{\hat{\mathbf{t}}_g, \mathbf{c}_k\})$ can be obtained using Eq.1 followed by normalization.

Finally, the overall training objective can be calculated as:

$$\mathcal{L} = \mathcal{L}_{CE} + \lambda \left(\mathcal{L}_{CA} + \mathcal{L}_{RA} + \mathcal{L}_{DA} \right), \tag{5}$$

where λ is the trade-off hyper-parameter. In particular, HSA is only used during the training process to improve the representation learning, which can be directly removed during inference, rendering an efficient and semantics-sensitive model.

4 Experiments

4.1 Experimental Settings

Datasets. We evaluate our HSA on two widely-used MRG benchmarks, IU-Xray [8] and MIMIC-CXR [14]. We adopt the settings in [6, 22] to preprocess the reports for a fair comparison. **IU-Xray** comprises 3,955 radiology reports and 7,470 chest X-ray images. Following previous works [15, 6, 41], we apply the same split, *i.e.* 70%/10%/20%, for training/validation/test set. **MIMIC-CXR** is the largest released radiology dataset to date, which contains 377,110 radiographs Table 2: Ablation study on the IU-Xray dataset. The **BASE** in (a) consists of an image encoder and a report decoder with \mathcal{L}_{CE} only. CA: case-level alignment. RA: region-level alignment. DA: disease-level alignment. Training **Time** in (b) denotes the average training time (s) for an epoch.

(a) Effect of each com- (b) Effect of alignment strat- (c) Effect of the initializaponent in the proposed HSA.

egy. CS: cosine similarity. GI: game-theoretic interaction.

tion on image and report encoders.

Method BL-4	MTR RG-L	. CDr	Alignment St	rategy BL-4	MTR RG-I	CDr	Training	Vi	SciBERT	BL-4	MTR	$\operatorname{RG-L}$	CDr
BASE 0.135 BASE+CA 0.174	0.172 0.364	0.523	CA	- 0.174	0.193 0.389	0.567	1 met	√ ×	×	0.182	0.205 0.196	0.393	0.582
BASE+CA+RA 0.187	0.204 0.398	0.586	RA&DA	CS 0.189 GI 0.196	0.204 0.401	0.589	155 161	1	/ /	0.196	0.210	0.405	0.59

and 227,835 corresponding reports. We adopt the official splits [40], resulting in 368,960/2,991/5,159 in the training/validation/test set.

Metrics. We adopt natural language generation metrics (NLG Metrics) and clinical efficacy (CE Metrics) to evaluate HSA. BLEU [29], METEOR [2], ROUGE-L [19] and CIDEr [33] are selected as NLG Metrics, and we utilize the MS-COCO caption evaluation tool[¶] to calculate scores. For CE Metrics, we employ $CheXpert^{\parallel}$ proposed in [11] to label the generated reports and then compare with 14 disease labels of the references. Note that F1 in Table 1 refers to example-based macro F1 following [17].

The reported results are averaged over 5 runs with different seeds. Please refer to the supplementary material for more implementation details.

4.2Comparison with State-of-the-arts

As shown in Tab.1, our HSA outperforms the baselines on almost all NLG metrics. This verifies the effectiveness of our HSA at three levels. However, on the MIMIC-CXR, our model is slightly inferior to MSAT (-1.2% CIDEr). This could be partly explained by MSAT employing a more powerful pre-trained image encoder (CLIP vs. ViT in ours) and a more potent attention mechanism (bilinear attention vs. vanilla attention in ours). Besides, our model achieves competitive results against previous SOTA methods in terms of CE Metrics. Specifically, our model achieves the best F1 score of 0.379, increasing by 0.6% compared to the best baseline. This indicates that our model can produce high-quality descriptions for clinical abnormalities.

Quantitative Analysis 4.3

We first explore whether each component contributes to the overall performance. As shown in Tab.2a, all the proposed case-level alignment (CA),

[¶]https://github.com/tylin/coco-caption

^{https://github.com/stanfordmlgroup/chexpert-labeler}

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Fig. 3: Case study of our proposed HSA and previous SOTA method DCL. At the top, we show the attention heatmaps of specific descriptions, and the disease embedding space by t-SNE [24]. We color the diseases and corresponding keywords in the report involved in this case, while keeping other diseases gray. <u>Underlined</u> text denotes the generated wrong sentences. (*Color figure online*)

region-level alignment (RA), and **disease-level** alignment (DA) benefit the performance of MRG. More quantitative analyses are presented below.

Effect of Alignment Strategy In Tab.2b, we explore the benefits of different alignment strategies. Comparing line #1 and line #2, the proposed RA and DA yield > 0.1 % benefits from CA across four metrics. For the alignment strategy of RA and DA, our GI alignment significantly outperforms the intuitive CS alignment. Since the HSA can be removed during inference, we only consider training time for comparison as shown in Tab.2b. The results indicate minimal difference with 6s per epoch between the two strategies, whereas our game-theoretic interaction demonstrates a substantial performance improvement.

Effect of Encoder Initialization In our implementation, we utilize ViT [9] as image encoder and SciBERT [3] as report encoder considering the domain gap between medical and generic texts. Not surprisingly, the performances drop steeply without pre-trained ViT parameters when comparing line #2 and line #3 (e.g., 0.598 \rightarrow 0.554 on CIDEr). Moreover, comparison of the line #1, line #3 (w/ SciBERT) and line #4 (w/ BERT) demonstrates the impact of pre-trained SciBERT parameters and its tokenizer's sensitivity to medical terminology.

4.4 Qualitative Analysis

In Fig.3, we show a case from MIMIC-CXR to better understand our model. For visualizing the **region-level** alignment, we show the three attention heatmaps between the image clustering center and the report clustering center. It demonstrates that our proposed HSA can capture accurate fine-grained semantic alignment between image regions and keywords. For visualizing the **disease-level**

alignment, we present the learned disease embedding space. It is observed that our HSA can learn reasonable disease-level semantic information. In contrast to previous SOTA method DCL, our HSA can generate more informative and meaningful radiology reports by enhancing hierarchical semantic alignment.

5 Conclusion

In this work, we made the first attempt to introduce multivariate cooperative game theory by formulating image-report as players at different levels. Based on this, we presented a hierarchical semantic alignment framework termed HSA, which seamlessly unifies the case-level, region-level, and disease-level alignment. Extensive experiments and analyses on two benchmark datasets demonstrated the superiority of our model over state-of-the-art methods.

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